

ATTORNEY'S DOCKET NO.: M0015/7000I

# IN THE UNITED STATES PATENT AND TRADEMARK OFF RECEIVE

Applicant:

George H. Clark et al.

Serial No.:

10/004.149

Filed: For:

October 31, 2001

CARBONATED FORTIFIED MILK-BASED BEVERAGE AND

METHOD FOR SUPPRESSING BACTERIAL FORMATION IN THE

BEVERAGE

Examiner:

Not Assigned

Art Unit:

1761

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with 3first-class postage attached, addressed to Commissioner for Patents, Washington, DC 20231 on April 10, 2002.

**Commissioner for Patents** Washington, DC 20231

# STATEMENT FILED PURSUANT TO THE DUTY OF **DISCLOSURE UNDER 37 C.F.R. §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the applicant requests consideration of this information disclosure statement.

## Compliance with 37 C.F.R. §1.97

This information disclosure statement is being filed before the mailing date of a first office action on the merits. No fee or certification is required.

Art Unit: 1761

## **Information Cited**

The applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the relative importance of the references.

### <u>Remarks</u>

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

- The examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- The enclosed form PTO-1449 be signed by the examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- The citations for the information be printed on any patent which issues from this application.

By submitting this information disclosure statement, the applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this information disclosure statement, the applicant makes no representation that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

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By submitting this information disclosure statement, the applicant makes no representation that the information cited in the statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

It is understood by applicant that the foregoing information will be considered and, to the extent deemed appropriate by the examiner, will be reflected in the examiner's communication.

Respectfully submitted,

Mark D. Lorusso

Reg. No. 41,955

Kirkpatrick & Lockhart LLP

75 State Street

Boston, Massachusetts 02109

Tel: (617) 261-3100 Attorneys for Applicant

Docket No.: M0015/7000P1

Date: April 10, 2002

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Form PTO-1449 TP E INFORMATION O TRUE E INFORMATION O TRU

5,834,045

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Docket No.: M0015/7001P1

Serial No. 010/004,149

Applicant: George H. Clark et al.

Filed: October 31, 2001

Group: 1761

	A LEVILLE					
		U.S	. Patent Documents			
Ex.	Doc. No.	Date	Name	Class	Subcl.	Filed
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	4,264,638	04/28/81	Robert T. Sirett et al.			
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	4,738,856	04/19/88	George H. Clark	T	6.6	AK
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	5,202,145	04/13/93	John R. Wisler et al.			
	5,366,751	11/22/94	William T. Pordy			
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	5,607,714	03/04/97	Philip Connolly			
	5,624,700	04/29/97	Lynn V. Ogden			
	5,648,112	07/15/97	David Kee Yang et al.			
	5,665,415	09/09/97	Alan E. Kligerman			
	5,817,351	10/06/98	N. T. DeWille et al.			
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FOREIGN PATENT DOCUMENTS										
Ex.	Doc. No.	Date	Name	Class	Subcl.					
OTHER DOCUMENTS (including, Author, Title, Date, Pages, Etc.)										
	U.S. application Serial No. 09/660,048 filed September 12, 2000; Inventors:									
	George H. Clark, Mary Ann Clark; Title: NEW AMINO ACID CHELATES FOR									
	THE EFFECTIVE SUPPLEMENTATION OF CALCIUM, MAGNESIUM AND									

Kim R. Keating

#### Examiner:

Date considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. An \* indicates references that do not require a copy to be provided under 37 C.F.R. §1.98(d) because a copy was previously cited or submitted in a prior application, which is relied upon under 35 U.S.C. §120.

M0015/7001P1